

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

----- X  
CITY OF PROVIDENCE, RHODE ISLAND,  
Individually and on Behalf of All Others Similarly  
Situated,

Plaintiffs,

v.

BATS GLOBAL MARKETS, INC., et al.,

Defendants.  
----- X

No. 14 Civ. 02811 (JMF)

**NOTICE OF APPEARANCE**

PLEASE TAKE NOTICE that William M. Regan, an attorney admitted to practice in this Court, hereby appears as counsel on behalf of UBS AG in this action, and respectfully requests that all pleadings, notices, orders, correspondence, and other papers filed in connection with the action be served on the undersigned.

Dated: New York, New York  
June 10, 2014

**KATTEN MUCHIN ROSENMAN LLP**

By: /s/ William M. Regan

William M. Regan  
william.regan@kattenlaw.com

575 Madison Avenue  
New York, New York 10022-2585  
(212) 940-8800 (phone)  
(212) 940-8776 (fax)

*Counsel for Defendant UBS AG*